



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
FILING COMPLETION UNDER RULE 53(f)

TRADEMARK APPLICATION of:

Inventors: **Keith D. Allen et al.**
Appln. No.: **10/005,921**
Filed: **December 4, 2001**
Title: **Transgenic Mice Containing Cash Gene Disruptions**

Order/Docket No. **R-714**
Deposit Account No. **50-1271**
Customer No. **26619**

Date: **March 8, 2002**

Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

Sir:

The following **completes the filing** under Rule 53(f) of the above-identified patent application:

1. ☒ Enclosed is a copy of the Notice to File Missing Parts.
2. ☒ Signed Declaration enclosed: ☒ Original ☐ facsimile/copy
3. ☒ Enclosed is an Assignment and cover sheet. Please return the recorded Assignment to the undersigned.
4. ☒ Applicants hereby claim "small entity" status in accordance with 37 CFR §1.27.

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

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5. Basic Filing Fee					\$370.00	370.00	201
6. Total Claims	31	minus 20 =	11	x 9.00	99.00	203	
7. Independent Claims	14	minus 3 =	11	x 42.00	462.00	202	
8. Multiple Dependent Claims					140.00	140.00	204
9. Surcharge for filing Declaration/filing fee late					65.00	65.00	205
10. FILING FEE: <input type="checkbox"/> CHARGE AUTHORIZATION <input checked="" type="checkbox"/> ENCLOSED					= \$ 1136.00		
11. Original due date: March 14, 2002							
12. Petition is hereby made to extend the original due date to cover the date of this response, for which the requisite fee is enclosed.					\$ 55. / 200. 460. / 720.	0	215
13. If Assignment is included, add recording fee.					\$ 40.00	40.00	581
					TOTAL FEE (enclosed): = \$ 1176.00		

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any missing fees required, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed.

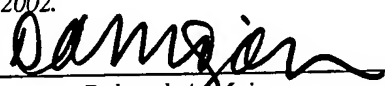
DELTAGEN, INC.

740 Bay Road
Redwood City, CA 94063
Tel (650) 569-5100
Fax (650) 569-5280


MARIETTE A. LAPIZ, Reg. No. 44,202

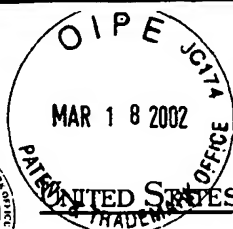
CERTIFICATE OF MAILING

I certify that the enclosed documents are being deposited with the U.S. Postal Service as First Class Mail, postage paid, in an envelope addressed to: Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231, on March 8, 2002.


Deborah A. Mojarro

R-714/MAL

#4



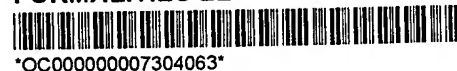
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/005,921	12/04/2001	Keith D. Allen	R-714

CONFIRMATION NO. 7082

FORMALITIES LETTER



OC000000007304063

DELTAGEN, INC.
740 Bay Road
Redwood City, CA 94063

04/29/2002 SDIRETA1 00000027 10005921

01 FC:203

90.00 OP

Date Mailed: 01/14/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/20/2002 SZEWDIE1 00000048 10005921

FILED UNDER 37 CFR 1.53(b)

01 FC:201 370.00 OP
02 FC:205 65.00 OP
03 FC:202 462.00 OP
04 FC:203 99.00 OP
05 FC:204 140.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$692.
 - \$90 for 10 total claims over 20.
 - \$462 for 11 independent claims over 3.
 - \$140 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1127.

Adjustment Date: 04/29/2002 SDIRETA1
03/20/2002 SZEWDIE1 00000048 10005921
04 FC:203

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Repln. Ref: 04/29/2002 SDIRETA1 0011265900
Dkt: 501721 Name/Number: 10005921
FC: 704 \$9.00 CR